FORM - L-35-DOWNGRADING OF INVESTMENTS -2

**COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)** 

STATEMENT AS ON: 31 Mar 2013 STATEMENT OF DOWN GRADED INVESTMENTS

PERIODICITY OF SUBMISSION : QUARTERLY

NAME OF THE FUND: NON UNIT LINKED LIFE FUND

ANNEXURE - 3

₹ In Lakhs CATEGORY **AMOUNT** DATE OF PARTICULARS OF **CODE AS PER** (AS PER DATE OF ORIGINAL **CURRENT** NO RATING AGENCY REMARKS CATEGORY OF **PURCHASE BALANCE DOWNGRADE INVESTMENT** GRADE GRADE **INVESTMENTS** SHEET) A. DURING THE QUARTER NIL B. AS ON DATE NIL

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)

STATEMENT AS ON: 31 Mar 2013 STATEMENT OF DOWN GRADED INVESTMENTS

PERIODICITY OF SUBMISSION : QUARTERLY

NAME OF THE FUND: NON UNIT LINKED GROUP FUND

ANNEXURE - 3

₹ In Lakhs

									\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		
NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS		
А.	DURING THE QUARTER										
			NIL								
В.	AS ON DATE										
		NIL									

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

**COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)** 

STATEMENT AS ON: 31 Mar 2013

STATEMENT OF DOWN GRADED INVESTMENTS PERIODICITY OF SUBMISSION : QUARTERLY

NAME OF THE FUND: NON UNIT LINKED PENSION FUND

**ANNEXURE - 3** 

₹ In Lakhs

NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS	
Α.	DURING THE QUARTER									
		NIL								
В.	AS ON DATE									
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	644.48	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA	17-3ep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus	
									there is no change in the Category code of the security.	

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)

STATEMENT AS ON: 31 Mar 2013 STATEMENT OF DOWN GRADED INVESTMENTS

PERIODICITY OF SUBMISSION : QUARTERLY

NAME OF THE FUND: LIFE UNIT LINKED FUNDS

ANNEXURE - 3

₹ In Lakhs

₹ In Lakhs

NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS
Α.	DURING THE QUARTER								
						NIL			
В.	AS ON DATE								
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	1,453.77	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA	17-Sen-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
2	9.90% Indian Hotels Ltd. 24-02- 2017	EDPG	10.13	24-Feb-12	ICRA	[ICRA]AA+	[ICRA]AA	17-Sen-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
									. 5000111,71

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

**COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)** 

STATEMENT AS ON: 31 Mar 2013

NAME OF THE FUND: GROUP PENSION UNIT LINKED FUNDS

**ANNEXURE - 3** 

STATEMENT OF DOWN GRADED INVESTMENTS PERIODICITY OF SUBMISSION : QUARTERLY

NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS		
A.	DURING THE QUARTER										
			NIL								
В.	AS ON DATE										
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	442.45	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.		
2	9.90% Indian Hotels Ltd. 24-02- 2017	EDPG	91.21	24-Feb-12	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.		