

FORM L-3-A-BS
Name of the Insurer: TATA AIA LIFE INSURANCE COMPANY LIMITED

Registration No.110 Date of Registration with IRDA: February 12, 2001

BALANCE SHEET AS AT SEPTEMBER 30,2012

PARTICULARS	SCHEDULE	AS AT SEPTEMBER 30, 2012	AS AT SEPTEMBER 30, 2011
		(₹'000)	(₹'000)
SOURCES OF FUNDS			
<i>SHAREHOLDERS' FUNDS:</i>			
SHARE CAPITAL	L-8,L-9	19,535,000	19,535,000
RESERVES AND SURPLUS	L-10	-	-
CREDIT/[DEBIT] FAIR VALUE CHANGE ACCOUNT		3,777	(8,382)
Sub-Total		19,538,777	19,526,618
BORROWINGS	L-11	-	-
<i>POLICYHOLDERS' FUNDS:</i>			
CREDIT/[DEBIT] FAIR VALUE CHANGE ACCOUNT		700,229	282,350
POLICY LIABILITIES		46,440,181	37,581,380
INSURANCE RESERVES		-	-
PROVISION FOR LAPSED LIABILITIES- NON -UNIT		752,333	100,164
PROVISION FOR LINKED LIABILITIES		97,740,924	82,111,210
PROVISION FOR DISCONTINUED POLICIES- NON PAYMENT OF PREMIUM		1,047,395	17,635
PROVISION FOR DISCONTINUED POLICIES - OTHERS		38,841	695
Sub-Total		146,719,903	120,093,434
FUNDS FOR FUTURE APPROPRIATION- PAR		1,198,096	625,108
FUNDS FOR FUTURE APPROPRIATION FOR LINKED LIABILITY (LAPSED POLICIES) NON UNIT		1,101,207	696,975
FUNDS FOR FUTURE APPROPRIATION FOR LINKED LIABILITY (LAPSED POLICIES) UNIT		254,601	523,725
FUNDS FOR FUTURE APPROPRIATION- NON PAR		-	-
TOTAL		168,812,584	141,465,860
APPLICATION OF FUNDS			
INVESTMENTS			
Shareholders'	L-12	7,047,158	5,206,951
Policyholders'	L-13	50,348,108	40,002,396
ASSETS HELD TO COVER LINKED LIABILITIES	L-14	99,081,761	82,653,265
LOANS	L-15	1,129,476	780,181
FIXED ASSETS	L-16	758,799	328,058
CURRENT ASSETS			
Cash and Bank Balances	L-17	889,254	779,671
Advances and Other Assets	L-18	3,354,335	2,791,252
Sub-Total (A)		4,243,589	3,570,923
CURRENT LIABILITIES	L-19	5,480,020	5,655,070
PROVISIONS	L-20	20,037	33,947
Sub-Total (B)		5,500,057	5,689,017
NET CURRENT ASSETS (C) = (A – B)		(1,256,468)	(2,118,094)
MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted)	L-21	-	-
DEBIT BALANCE IN PROFIT & LOSS ACCOUNT (Shareholders' Account)		11,703,750	14,613,103
Debit Balance of Revenue Account		-	-
TOTAL		168,812,584	141,465,860

CONTINGENT LIABILITIES

Particulars	AS AT SEPTEMBER 30, 2012	AS AT SEPTEMBER 30, 2011
	(₹'000)	(₹'000)
1 Partly paid-up investments	-	-
2 Claims, other than against policies, not acknowledged as debts by the company	2,479	63,495
3 Underwriting commitments outstanding (in respect of shares and securities)	-	-
4 Guarantees given by or on behalf of the Company	2,100	3,250
5 Statutory demands/ liabilities in dispute, not provided for	1,841,918	1,376,542
6 Reinsurance obligations to the extent not provided for in accounts	-	-
7 Insurance claims with Ombudsman	3,090	10,115
TOTAL	1,849,587	1,453,402