FORM - L-35-DOWNGRADING OF INVESTMENTS -2

STATEMENT OF DOWN GRADED INVESTMENTS

**COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)** 

STATEMENT AS ON: 30th SEPT2012

## NAME OF THE FUND NON UNIT LINKED LIFE FUND

ANNEXURE - 3

									₹ In Lakhs	
NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS	
A.	DURING THE QUARTER 1									
		NIL								
В.	AS ON DATE 2									
		NIL								

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

**COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)** 

STATEMENT AS ON: 30th SEPT2012

STATEMENT OF DOWN GRADED INVESTMENTS

**NAME OF THE FUNL NON UNIT LINKED GROUP FUND** 

ANNEXURE - 3

₹ In Lakhs

NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS	
A.	DURING THE QUARTER 1									
		NIL								
В.	AS ON DATE 2									
		NIL								

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

**STATEMENT OF DOWN GRADED INVESTMENTS** 

COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)

**STATEMENT AS ON: 30th SEPT2012** 

NAME OF THE FUND NON UNIT LINKED PENSION FUND

ANNEXURE - 3

₹ In Lakhs

NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS
Α.	DURING THE QUARTER								
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	622.73	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
В.	AS ON DATE								
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	622.73	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA	17-5ep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

**COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)** 

STATEMENT AS ON: 30th SEPT2012

## NAME OF THE FUNDLIFE UNIT LINKED FUNDS

STATEMENT OF DOWN GRADED INVESTMENTS

ANNEXURE - 3

₹ In Lakhs

NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS
Α.	DURING THE QUARTER								
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	1,344.18	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
2	90% Indian Hotels Ltd. 24-02-20:	EDPG	10.14	24-Feb-12	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
В.	AS ON DATE								
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	1,344.18	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
2	90% Indian Hotels Ltd. 24-02-20	EDPG	10.14	24-Feb-12	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

**COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)** 

STATEMENT AS ON: 30th SEPT2012
STATEMENT OF DOWN GRADED INVESTMENTS

NAME OF THE FUND GROUP PENSION UNIT LINKED FUNDS

**ANNEXURE - 3** 

									₹ In Lakhs
NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS
A.	DURING THE QUARTER								
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	488.79	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
2	9.90% Indian Hotels Ltd. 24-02- 2017	EDPG	91.30	24-Feb-12	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
В.	AS ON DATE								
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	488.79	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
2	9.90% Indian Hotels Ltd. 24-02- 2017	EDPG	91.30	24-Feb-12	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.