FORM - L-35-DOWNGRADING OF INVESTMENTS -2

COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)

STATEMENT AS ON: 30 June 2013 STATEMENT OF DOWN GRADED INVESTMENTS NAME OF THE FUND: NON UNIT LINKED LIFE FUND

PERIODICITY OF SUBMISSION : QUARTERLY

ANNEXURE - 3

ANNEXURE - 3

									₹ In Lakhs
NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS
A.	DURING THE QUARTER								
		NIL							
В.	<u>AS ON DATE</u>								
		NIL							

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)

STATEMENT AS ON: 30 June 2013 STATEMENT OF DOWN GRADED INVESTMENTS

PERIODICITY OF SUBMISSION : QUARTERLY

NAME OF THE FUND: NON UNIT LINKED GROUP FUND

₹ In Lakho

									₹ In Lakhs		
NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS		
A.	DURING THE QUARTER										
			NIL								
В.	AS ON DATE										
		NIL									

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)

STATEMENT AS ON: 30 June 2013

STATEMENT OF DOWN GRADED INVESTMENTS

PERIODICITY OF SUBMISSION : QUARTERLY

NAME OF THE FUND: NON UNIT LINKED PENSION FUND

ANNEXURE - 3

₹ In Lakhs

NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF	AMOUNT (AS PER BALANCE	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS
Α.	DURING THE QUARTER	INVESTMENTS	SHEET)						
	DOKING THE GOARTER								
					<u> </u>	<u> </u>	<u> </u>	l	
			 	 	T	NIL	T	T	
В.	AS ON DATE								
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	655.35	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus
									there is no change in the Category code of the security.

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)

STATEMENT AS ON: 30 June 2013 STATEMENT OF DOWN GRADED INVESTMENTS

PERIODICITY OF SUBMISSION : QUARTERLY

NAME OF THE FUND: LIFE UNIT LINKED FUNDS

ANNEXURE - 3

₹ In Lakhs

NO	PARTICULARS OF INVESTMENT	CATEGORY CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS
Α.	DURING THE QUARTER								
						NIL			
В.	AS ON DATE								
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	1,486.70	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA		Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
2	9.90% Indian Hotels Ltd. 24-02- 2017	EDPG	10.22	24-Feb-12	ICRA	[ICRA]AA+	[ICRA]AA	17-Sen-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

COMPANY NAME & CODE: : Tata AIA Life Insurance Co. Ltd (110)

STATEMENT AS ON: 30 June 2013 STATEMENT OF DOWN GRADED INVESTMENTS

PERIODICITY OF SUBMISSION : QUARTERLY

NAME OF THE FUND: GROUP PENSION UNIT LINKED FUNDS

ANNEXURE - 3

PARTICULARS OF INVESTMENT	CODE AS PER CATEGORY OF INVESTMENTS	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS
ING THE QUARTER								
					NIL		<u> </u>	1
ON DATE								
0% Indian Hotels Ltd. 09-12- 2014	EDPG	452.48	9-Dec-09	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
0% Indian Hotels Ltd. 24-02- 2017	EDPG	91.94	24-Feb-12	ICRA	[ICRA]AA+	[ICRA]AA	17-Sep-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
	INVESTMENT ING THE QUARTER ON DATE OW Indian Hotels Ltd. 09-12-2014 OW Indian Hotels Ltd. 24-02-	INVESTMENT CATEGORY OF INVESTMENTS ING THE QUARTER DN DATE DW Indian Hotels Ltd. 09-12-2014 EDPG CON Indian Hotels Ltd. 24-02-EDPG	INVESTMENT CATEGORY OF INVESTMENTS SHEET) ING THE QUARTER DN DATE DN DATE DN Indian Hotels Ltd. 09-12-2014 EDPG 452.48	INVESTMENT CATEGORY OF INVESTMENTS ING THE QUARTER DIVIDING THE	INVESTMENT CATEGORY OF INVESTMENTS BALANCE SHEET) PURCHASE RATING AGENCY BALANCE SHEET) DIVIDING THE QUARTER DIVIDING THE QUA	INVESTMENT CATEGORY OF INVESTMENTS BALANCE SHEET) PURCHASE RATING AGENCY GRADE NIL NIL ON DATE OW Indian Hotels Ltd. 09-12-2014 EDPG 452.48 9-Dec-09 ICRA [ICRA]AA+ DW Indian Hotels Ltd. 24-02-	INVESTMENT CATEGORY OF INVESTMENTS ING THE QUARTER NIL ON DATE O''s Indian Hotels Ltd. 09-12-2014 EDPG 452.48 9-Dec-09 ICRA ICRA AA+ ICRA AA+ ICRA AA+ ICRA AA+ ICRA AA+ ICRA AA	ING THE QUARTER DIN DATE OW Indian Hotels Ltd. 09-12-2014 EDPG 452.48 9-Dec-09 ICRA ICRA ICRA IAA+ ICRA ICRA IAA+ ICRA ICRA IAA+ ICRA ICRA ICRA IAA+ ICRA ICRA ICRA ICRA ICRA ICRA ICRA ICRA