FORM - L-35-DOWNGRADING OF INVESTMENTS -2

Name of the Insurer: TATA AIA Life Insurance Company Limited

Registration Number: 110

Statement as on: 31 Dec 2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

NAME OF THE FUND : NON UNIT LINKED LIFE FUND

Rs. in Lakhs Date of Current Grade No Name of the Security COI Amount Date of Purchase Rating Agency Original Grade Remarks Downgrade A. DURING THE QUARTER NIL B. <u>AS ON DATE</u> NIL

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

Name of the Insurer: TATA AIA Life Insurance Company Limited

Registration Number: 110

Statement as on: 31 Dec 2013

NAME OF THE FUND : NON UNIT LINKED PENSION FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Date of СОІ Name of the Security Date of Purchase Rating Agency Original Grade Current Grade No Amount Remarks Downgrade A. DURING THE QUARTER NIL B. <u>AS ON DATE</u> Rating downgrade of the security did not result it being classified below the investment grade. Thus 2.00% Indian Hotels Ltd. 09-12-EDPG 677.34 ICRA [ICRA]AA+ [ICRA]AA 17-Sep-12 26-Aug-11 there is no change in the Category code of the 2014 security.

Rs. in Lakhs

Rs. in Lakhs

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

Name of the Insurer: TATA AIA Life Insurance Company Limited

Registration Number: 110

Statement as on: 31 Dec 2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

NAME OF THE FUND : UNIT LINKED FUNDS

No	Name of the Security	соі	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
Α.	DURING THE QUARTER								
		NIL							
В.	<u>AS ON DATE</u>								
1	2.00% Indian Hotels Ltd. 09-12- 2014	EDPG	1,993.28	26-Feb-10	ICRA	[ICRA]AA+	[ICRA]AA	17-Sen-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
2	9.90% Indian Hotels Ltd. 24-02- 2017	EDPG	99.21	24-Feb-12	ICRA	[ICRA]AA+	[ICRA]AA	17-Sen-12	Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security.
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