FORM - L-35-DOWNGRADING OF INVESTMENTS -2

Name of the Insurer: TATA AIA Life Insurance Company Limited

Statement as on: 30 June 2014
Statement of Down Graded Investments

Periodicity of Submission: Quarterly

**Registration Number: 110** 

NAME OF THE FUND: NON UNIT LINKED LIFE FUND

|    |                      |         |        |                  |               |                |               |                      | ₹ in Lakhs |
|----|----------------------|---------|--------|------------------|---------------|----------------|---------------|----------------------|------------|
| No | Name of the Security | соі     | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of<br>Downgrade | Remarks    |
| A. | DURING THE QUARTER   |         |        |                  |               |                |               |                      |            |
|    |                      |         |        |                  |               |                |               |                      |            |
|    |                      | NIL     |        |                  |               |                |               |                      |            |
| В. | <u>AS ON DATE</u>    |         |        |                  |               |                |               |                      |            |
|    |                      |         |        |                  |               |                |               |                      |            |
|    |                      | NIL NIL |        |                  |               |                |               |                      |            |
|    |                      |         |        |                  |               |                |               |                      |            |

FORM - L-35-DOWNGRADING OF INVESTMENTS -2

Name of the Insurer: TATA AIA Life Insurance Company Limited

Registration Number: 110
Statement as on: 30 Jun 2014
Statement of Down Graded Investments

Periodicity of Submission: Quarterly

NAME OF THE FUND: NON UNIT LINKED PENSION FUND

₹ in Lakhs

|    | ₹ in Lakh                               |      |        |                  |               |                |               |                      |   |
|----|---|------|--------|------------------|---------------|----------------|---------------|----------------------|---|
| No | Name of the Security                    | соі  | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of<br>Downgrade | Remarks   |
| A. | DURING THE QUARTER                      |      |        |                  |               |                |               |                      |   |
|    |   |      |        |                  |               |                |               |                      |   |
|    |   | NIL  |        |                  |               |                |               |                      |   |
|    |   |      |        |                  |               |                |               |                      |   |
|    |   |      |        |                  |               |                |               |                      |   |
| В. | <u>AS ON DATE</u>                       |      |        |                  |               |                |               |                      |   |
|    |   |      |        |                  |               |                |               |                      |   |
| 1  | 2.00% Indian Hotels Ltd. 09-12-<br>2014 | EDPG | 698.97 | 26-Aug-11        | ICRA          | [ICRA]AA+      | [ICRA]AA      | 17-Sep-12            | Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security. |
|    |   |      |        |                  |               |                |               |                      |   |

## FORM - L-35-DOWNGRADING OF INVESTMENTS -2

Name of the Insurer: TATA AIA Life Insurance Company Limited

Registration Number: 110
Statement as on: 30 Jun 2014
Statement of Down Graded Investments
Periodicity of Submission: Quarterly

NAME OF THE FUND: UNIT LINKED FUNDS

₹ in Lakhs

|   |   |      |          |                  |               |                |               |                      | \ III LUKIIS  |  |
|---|---|------|----------|------------------|---------------|----------------|---------------|----------------------|---|--|
| No                                      | Name of the Security                    | соі  | Amount   | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of<br>Downgrade | Remarks   |  |
| A.                                      | DURING THE QUARTER                      |      |          |                  |               |                |               |                      |   |  |
|   |   |      |          |                  |               |                |               |                      |   |  |
| *************************************** |   |      | NIL      |                  |               |                |               |                      |   |  |
|   |   |      | <b></b>  | <br>             |               | [              |               |                      | 1   |  |
|   |   |      |          |                  |               |                |               |                      |   |  |
| В.                                      | <u>AS ON DATE</u>                       |      |          |                  |               |                |               |                      |   |  |
| 1                                       | 2.00% Indian Hotels Ltd. 09-12-<br>2014 | EDPG | 2,084.63 | 26-Feb-10        | ICRA          | [ICRA]AA+      | [ICRA]AA      | 17-Sep-12            | Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security. |  |
| 2                                       | 9.90% Indian Hotels Ltd. 24-02-<br>2017 | EDPG | 100.32   | 24-Feb-12        | ICRA          | [ICRA]AA+      | [ICRA]AA      | 17-Sep-12            | Rating downgrade of the security did not result it being classified below the investment grade. Thus there is no change in the Category code of the security. |  |
|   |   |      |          |                  |               |                |               |                      |   |  |