

**FORM L-3-A-BS**  
**Name of the Insurer: TATA AIA LIFE INSURANCE COMPANY LIMITED**

Registration No.110 Date of Registration with IRDA: February 12, 2001

**BALANCE SHEET AS AT SEPTEMBER 30,2014**

PARTICULARS	SCHEDULE	AS AT SEPTEMBER 30, 2014	AS AT SEPTEMBER 30, 2013
		(₹'000)	(₹'000)
<b>SOURCES OF FUNDS</b>			
<i>SHAREHOLDERS' FUNDS:</i>			
SHARE CAPITAL	<b>L-8,L-9</b>	19,535,000	19,535,000
RESERVES AND SURPLUS	<b>L-10</b>	-	-
CREDIT/[DEBIT] FAIR VALUE CHANGE ACCOUNT		47,074	13,450
<b>Sub-Total</b>		<b>19,582,074</b>	<b>19,548,450</b>
BORROWINGS	<b>L-11</b>	-	-
<i>POLICYHOLDERS' FUNDS:</i>			
CREDIT/[DEBIT] FAIR VALUE CHANGE ACCOUNT		2,005,392	840,739
POLICY LIABILITIES		68,776,170	57,864,515
INSURANCE RESERVES		-	-
PROVISION FOR LAPSED LIABILITIES- NON -UNIT		30,537	383,576
PROVISION FOR LINKED LIABILITIES		98,158,375	89,403,920
PROVISION FOR DISCONTINUED POLICIES- NON PAYMENT OF PREMIUM		4,279,746	2,480,132
PROVISION FOR DISCONTINUED POLICIES - OTHERS		514,109	202,849
<b>Sub-Total</b>		<b>173,764,329</b>	<b>151,175,731</b>
FUNDS FOR FUTURE APPROPRIATION- PAR		708,524	959,074
FUNDS FOR FUTURE APPROPRIATION FOR LINKED LIABILITY (LAPSED POLICIES) NON UNIT		98,574	508,742
FUNDS FOR FUTURE APPROPRIATION FOR LINKED LIABILITY (LAPSED POLICIES) UNIT		910	49,715
FUNDS FOR FUTURE APPROPRIATION- NON PAR		-	-
<b>TOTAL</b>		<b>194,154,411</b>	<b>172,241,712</b>
<b>APPLICATION OF FUNDS</b>			
INVESTMENTS			
Shareholders'	<b>L-12</b>	14,231,799	10,836,642
Policyholders'	<b>L-13</b>	72,453,293	60,372,461
ASSETS HELD TO COVER LINKED LIABILITIES	<b>L-14</b>	102,951,192	92,136,541
LOANS	<b>L-15</b>	1,883,992	1,559,584
FIXED ASSETS	<b>L-16</b>	1,051,927	695,636
CURRENT ASSETS			
Cash and Bank Balances	<b>L-17</b>	320,689	440,212
Advances and Other Assets	<b>L-18</b>	3,507,875	3,163,261
<b>Sub-Total (A)</b>		<b>3,828,564</b>	<b>3,603,473</b>
CURRENT LIABILITIES	<b>L-19</b>	5,294,884	4,777,645
PROVISIONS	<b>L-20</b>	123,217	39,957
<b>Sub-Total (B)</b>		<b>5,418,101</b>	<b>4,817,602</b>
NET CURRENT ASSETS (C) = (A - B)		<b>(1,589,537)</b>	<b>(1,214,129)</b>
MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted)	<b>L-21</b>	-	-
DEBIT BALANCE IN PROFIT & LOSS ACCOUNT (Shareholders' Account)		3,171,745	7,854,977
DEBIT BALANCE OF REVENUE ACCOUNT		-	-
<b>TOTAL</b>		<b>194,154,411</b>	<b>172,241,712</b>

**CONTINGENT LIABILITIES**

Particulars	AS AT SEPTEMBER 30, 2014	AS AT SEPTEMBER 30, 2013
	(₹'000)	(₹'000)
1 Partly paid-up investments	-	-
2 Claims, other than against policies, not acknowledged as debts by the company	69,561	69,024
3 Underwriting commitments outstanding (in respect of shares and securities)	-	-
4 Guarantees given by or on behalf of the Company	2,700	2,700
5 Statutory demands/ liabilities in dispute, not provided for	6,544,019	4,615,486
6 Reinsurance obligations to the extent not provided for in accounts	-	-
7 Insurance claims with Ombudsman	20,564	34,648
<b>TOTAL</b>	<b>6,636,844</b>	<b>4,721,858</b>