

[illegible]

FORM - L-34:YIELD ON INVESTMENTS-I															
Name of the Insurer: TATA AIA Life Insurance Company Limited															
Registration Number: 110															
Statement as on: 30 Sep 2014															
NAME OF THE FUND : Non Unit Linked Pension Fund															
Statement of Investment and Income on Investment															
Periodicity of Submission: Quarterly															
Locs															

FORM - L-34-YIELD ON INVESTMENTS-1

Name of the Insurer: TATA AIA Life Insurance Company Limited

Registration Number: 110

Statement as on: 30 Sep 2014

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

NAME OF THE FUND : UNIT LINKED FUNDS

₹ Lacs

No.	Category of Investment	Category Code	Current Quarter - Sep 2014				Year to Date (current year)				Year to Date (previous year) - Sep 2013			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ²	Net Yield (%) ²	Investment (₹)	Income on Investment (₹)	Gross Yield (%) ²	Net Yield (%) ²	Investment (₹)	Income on Investment (₹)	Gross Yield (%) ²	Net Yield (%) ²
1	A Central Government Securities													
2	A1 Central Government Bonds	CGSB	55,201.63	1,344.85	2.44	2.44	51,138.51	3,461.32	6.77	6.77	38,689.03	(1,330.87)	(3.44)	(3.44)
3	A2 Special Deposits	CSPD	-	-	-	-	-	-	-	-	-	-	-	-
4	A3 Deposit under Sec 7 of Insurance Act, 1938	CSSS	-	-	-	-	-	-	-	-	-	-	-	-
5	A4 Treasury Bills	CTRB	4,763.43	95.53	2.01	2.01	4,763.43	95.53	2.01	2.01	-	-	-	-
6	B Government Securities / Other Approved Securities													
7	B1 Central Government Guaranteed Loans/ Special	CSSL	-	-	-	-	-	-	-	-	-	-	-	-
8	B2 State Government Bonds/ Development Loans	SGSB	6,690.90	150.26	2.25	2.25	5,363.68	336.02	6.26	6.26	3,044.95	(57.87)	(1.90)	(1.90)
9	B3 State Government Guaranteed Loans	SGGL	-	-	-	-	-	-	-	-	-	-	-	-
10	B4 Other Approved Securities (excluding Infrastructure	SGQA	394.76	11.08	2.81	2.81	391.89	25.51	6.51	6.51	408.49	(4.98)	(1.22)	(1.22)
11	B5 Guaranteed Equity	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
12	C Housing and Loans to State Govt for housing and													
13	C1 Loans to State Govt. for Housing	HLSH	-	-	-	-	-	-	-	-	-	-	-	-
14	C2 Loans to State Govt. for Fire Fighting Equipments	HLSF	-	-	-	-	-	-	-	-	-	-	-	-
15	C3 Term Loan - HUDCO/CHN/Institutions accredited by	HTLH	-	-	-	-	-	-	-	-	-	-	-	-
16	C4 Commercial Papers - NBFI/Institutions accredited by	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
17	C5 Housing - Securitised Assets (Approved Investment)	HMBS	-	-	-	-	-	-	-	-	-	-	-	-
18	C6 Bonds/ Debentures/ CPs/ Loans - Promoter Group	HMPG	-	-	-	-	-	-	-	-	-	-	-	-
19	C7 Bonds/Debentures issued by HUDCO	HTHD	-	-	-	-	-	-	-	-	-	-	-	-
20	C8 Bonds/Debentures issued by NHB/ Institutions	HTDN	18,302.44	459.20	2.51	2.51	17,229.62	954.80	5.54	5.54	19,658.01	542.54	2.76	2.76
21	C9 Bonds/Debentures issued by Authority constituted	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
22	C10 Bonds/Debentures issued by HUDCO	HTHD	-	-	-	-	-	-	-	-	-	-	-	-
23	C11 Bonds/Debentures issued by NHB/ Institutions	HTDN	-	-	-	-	-	-	-	-	-	-	-	-
24	C12 Bonds/Debentures issued by Authority constituted	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
25	D Infrastructure Investments													
26	D1 Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	-	-	-	-
27	D2 Infrastructure - PSU - Equity shares - Quoted	ITPE	52,516.98	(1,413.93)	(2.69)	(2.69)	50,735.83	15,302.85	30.16	30.16	37,094.49	(4,224.61)	(11.39)	(11.39)
28	D3 Infrastructure - Corporate Securities - Equity shares -	ITCE	123,874.78	(8,379.55)	(6.76)	(6.76)	116,974.23	13,892.18	11.88	11.88	77,006.19	(437.07)	(0.57)	(0.57)
29	D4 Infrastructure - PSU - Equity Shares - Unquoted	ITNE	-	-	-	-	-	-	-	-	-	-	-	-
30	D5 Infrastructure - Equity and Equity Related	IELO	-	-	-	-	-	-	-	-	-	-	-	-
31	D6 Infrastructure - Equity and Equity Related	IEPG	4,573.34	262.82	5.75	5.75	4,309.58	1,596.46	37.04	37.04	752.21	(100.38)	(13.34)	(13.34)
32	D7 Infrastructure - Securitised Assets (Approved)	IESA	-	-	-	-	-	-	-	-	-	-	-	-
33	D8 Infrastructure - Debenture/ Bonds/ CPs/ Loans -	IDPG	-	-	-	-	-	-	-	-	-	-	-	-
34	D9 Infrastructure - PSU - Debentures/ Bonds	IPTD	51,707.94	1,248.22	2.41	2.41	48,636.46	2,946.75	6.06	6.06	49,438.67	(101.23)	(0.20)	(0.20)
35	D10 Infrastructure - PSU - CPs	IPCP	4,550.55	104.10	2.29	2.29	4,488.18	204.65	4.56	4.56	-	-	-	-
36	D11 Infrastructure - Other Corporate Securities -	ICTD	5,519.35	141.30	2.56	2.56	4,993.80	307.72	6.16	6.16	4,135.04	20.75	0.50	0.50
37	D12 Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
38	D13 Infrastructure - Term Loans (with Charge)	ILWC	-	-	-	-	-	-	-	-	-	-	-	-
39	D14 Infrastructure - PSU - Debentures/ Bonds	IPFD	-	-	-	-	646.80	87.10	13.47	13.47	-	-	-	-
40	D15 Infrastructure - Other Corporate Securities -	ICDO	-	-	-	-	-	-	-	-	-	-	-	-
41	D16 Infrastructure - Equity (including unlisted)	IOEO	22,707.03	3,702.54	16.31	16.31	19,843.52	6,476.73	32.64	32.64	9,071.28	1,066.90	11.76	11.76
42	E Approved Investment Subject To Exposure Norms													
43	E1 PSU - (Approved Investment)-Equity Shares quoted	EAEO	30,745.04	(3,739.40)	(12.16)	(12.16)	32,434.14	6,261.71	19.31	19.31	28,054.84	(4,445.85)	(15.85)	(15.85)
44	E2 Corporate Securities (Approved Investment) - Equity	EACE	469,039.65	57,454.08	12.25	12.25	464,754.14	123,811.90	26.21	26.21	488,937.61	32,320.88	6.61	6.61
45	E3 PSU-(Approved Investments) -Equity Shares -quoted	ETPE	-	-	-	-	-	-	-	-	-	-	-	-
46	E4 Corporate Securities (Approved Investment) - Equity	ETCE	-	-	-	-	-	-	-	-	-	-	-	-
47	E5 Corporate Securities (Approved Investment) - Equity	EECN	-	-	-	-	-	-	-	-	-	-	-	-
48	E6 PSU - Equity Shares - Unquoted	ELUO	-	-	-	-	-	-	-	-	-	-	-	-
49	E7 Equity Shares - Companies incorporated outside	EEFS	-	-	-	-	-	-	-	-	-	-	-	-
50	E8 Equity Shares (incl. Equity related Instruments) -	EEPG	72,563.79	8,599.73	11.85	11.85	70,097.04	18,201.40	25.97	25.97	60,307.34	9,242.58	15.33	15.33
51	E9 Corporate Securities - Bonds - Taxable	EPBT	15,038.92	368.88	2.39	2.39	17,646.06	1,096.58	6.21	6.21	20,598.12	313.80	1.52	1.52
52	E10 Corporate Securities - Bonds - Tax free	EPBF	-	-	-	-	-	-	-	-	-	-	-	-
53	E11 Corporate Securities (Approved Investment) - Pref	EPNQ	312.70	19.83	6.34	6.34	305.52	39.65	12.98	12.98	-	-	-	-
54	E12 Corporate Securities (Approved Investment) -	ECIS	-	-	-	-	-	-	-	-	-	-	-	-
55	E13 Corporate Securities (Approved Investment) -	EPDS	-	-	-	-	-	-	-	-	263.48	10.67	4.05	4.05
56	E14 Corporate Securities - Debentures/ Bonds/ CPs/	EDPG	5,166.78	116.13	2.25	2.25	5,411.15	379.16	7.01	7.01	6,776.25	27.56	0.41	0.41
57	E15 Corporate Securities (Approved Investment) -	ECDI	-	-	-	-	-	-	-	-	-	-	-	-
58	E16 Investment Properties - Immovable	ENIP	-	-	-	-	-	-	-	-	-	-	-	-
59	E17 Loans - Policy Loans	ELPL	-	-	-	-	-	-	-	-	-	-	-	-
60	E18 Loans Secured Loans - Mortgage of Property in India	ELMI	-	-	-	-	-	-	-	-	-	-	-	-
61	E19 Loans Secured Loans - Mortgage of Property outside	ELMO	-	-	-	-	-	-	-	-	6,475.08	345.21	5.33	5.33
62	E20 Deposits - Deposit with scheduled banks	EDDB	-	-	-	-	-	-	-	-	-	-	-	-
63	E21 Deposits - CDs with Scheduled Banks	EDCD	39,589.36	902.78	2.28	2.28	35,931.21	1,625.54	4.52	4.52	13,446.04	576.45	4.29	4.29
64	E22 Deposits - Money at call and short notice with	ECMR	12,090.99	253.23	2.09	2.09	15,047.32	626.46	4.16	4.16	16,206.30	670.00	4.13	4.13
65	E23 CCL (Approved Investment) - CCL	ECCL	-	-	-	-	-	-	-	-	-	-	-	-
66	E24 Commercial Papers issued by all India Financial	ECPP	-	-	-	-	-	-	-	-	2,823.35	132.69	4.70	4.70
67	E25 Application Money	ECAM	1,690.24	-	-	-	1,690.24	-	-	-	-	-	-	-
68	E26 Deposit with Primary Dealers duly recognised by	EPDP	-	-	-	-	-	-	-	-	-	-	-	-
69	E27 Perpetual Debt Instruments of Tier I and II Capital	EPDP	-	-	-	-	-	-	-	-	-	-	-	-
70	E28 Perpetual Debt Instruments of Tier I and II Capital	EPDP	-	-	-	-	-	-	-	-	-	-	-	-
71	E29 Perpetual Non-Cum. P Shares and Redeemable	EUPS	-	-	-	-	-	-	-	-	-	-	-	-
72	E30 Perpetual Non-Cum. P Shares and Redeemable	EPDS	-	-	-	-	-	-	-	-	-	-	-	-
73	E31 Foreign Debt Securities (Invested prior to IRDA)	EFDS	-	-	-	-	-	-	-	-	-	-	-	-
74	E32 Mutual Funds - Gilt/G Sec/ Liquid Schemes	EGMF	10,063.53	207.95	2.07	2.07	9,791.78	426.13	4.35	4.35	18,541.42	853.44	4.60	4.60
75	E33 Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-
76	E34 Net Current Assets (Only in respect of ULIP)	EMCA	6,358.49	-	-	-	6,358.49	-	-	-	18,038.36	-	-	-
77	F Other than Approved Securities													
78	F1 Other than Approved Investments - Bonds - PSU -	ORPT	-	-	-	-	-	-	-	-	-	-	-	-
79	F2 Other than Approved Investments - Bonds - PSU - Tax	ORPT	-	-	-	-	-	-	-	-	-	-	-	-
80	F3 Other than Approved Investments - Equity Shares	ORSH	12,940.59	841.79	6.51	6.51	13,765.34	3,795.17	27.50	27.50	19,867.15	(3,960.43)	(19.93)	(19.93)
81	F4 Equity Shares (incl. Equity related Instruments) -	ORPG	-	-	-	-	-	-	-	-	-	-	-	-
82	F5 Other than Approved Investments - Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
83	F6 Debentures/ Bonds/ CPs/ Loans etc. - Promoter	ORPG	-	-	-	-	-	-	-	-	-	-	-	-
84	F7 Commercial Papers	OACP	-	-	-	-	-	-	-	-	-	-	-	-
85	F8 Other than Approved Investments - Pref Shares	OPSH	-	-	-	-	-	-	-	-	-	-	-	-
86	F9 Other than Approved Investments - Venture fund	OVNF	-	-	-	-	-	-	-	-	-	-	-	-
87	F10 Other than Approved Investments - Short Term	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
88	F11 Other than Approved Investments - Term Loans	OTLW	-	-	-	-	-	-	-	-	-	-	-	-
89	F12 Mutual Funds - Debt/ Income/ Serial Plans/ Liquid	OMGS	3,648.30	73.91	2.03	2.03	5,907.61	255.04	4.32	4.32	17,254.99	768.20	4.45	4.45
90	F13 Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
91	F14 Derivative Instruments	ODDI	-	-	-	-	-	-	-	-	-	-	-	-
92	F15 Securitised Assets (underlying assets Housing	OPSA	-	-	-	-	-	-	-	-	-	-	-	-
93	F16 Equity Shares (PSU & Unlisted)	OEPU	-	-	-	-	-	-	-	-	7.54	(14.11)	(187.23)	(187.23)
94	F17 Investment properties - Immovable	OPI	-	-	-	-	-	-	-	-	-	-	-	-
0	TOTAL	U	1,030,051.44	62,795.32	6.10	6.10	1,008,653.58	200,195.38	19.85	19.85	956,896.23	32,214.28	3.37	3.37

Note:

1 Based on daily simple Average of Investments

2 Net Yield : Yield Netted for Tax